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To:

NAME:	FACSIMILE:	TELEPHONE:
U.S. Patent and Trademark Office M/S RCE	(703) 872-9306	

FROM: Katherine D. Lee (Reg. No. 44,865)

DATE: July 11, 2005

Number of pages with cover page: 25

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Contents of this Transmission:

Atty Docket No. 468182000100:

Inventor: Peter M. DICKSTEIN et al.

Application No.: 09/752,650

Filing Date: December 29, 2000

Group Art Unit: 3624

Examiner: C. R. Kyle

Title: SYSTEM AND METHOD TO ORGANIZE AND MANAGE CORPORATE
CAPITALIZATION AND SECURITIES

Documents:

RCE Transmittal (1 pages)

Fee Transmittal (2 pages)

Petition for Extension of Time - 1 mo. (1 page)

Verified Statement Claiming Small Entity Status (1 page)

Amendment (19 pages)

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PTO/SB/30 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

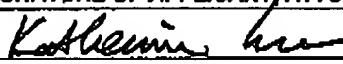
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Request For Continued Examination (RCE) Transmittal		Application Number	09/752,650
Address to: MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		Filing Date	December 29, 2000
		First Named Inventor	Peter M. DICKSTEIN
		Art Unit	3624
		Examiner Name	C. R. Kyle
		Attorney Docket Number	468182000100

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. Submission required under 37 CFR 1.114 <small>Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).</small>			
a. <input type="checkbox"/> Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. <ul style="list-style-type: none"> i. <input type="checkbox"/> Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____ ii. <input type="checkbox"/> Other _____ 			
b. <input checked="" type="checkbox"/> Enclosed <ul style="list-style-type: none"> i. <input checked="" type="checkbox"/> Amendment/Reply (19 pages) ii. <input type="checkbox"/> Affidavit(s)/Declaration(s) iii. <input type="checkbox"/> Information Disclosure Statement (IDS) iv. <input checked="" type="checkbox"/> Other <u>Facsimile Return Receipt Cover</u> 			
2. Miscellaneous			
a. <input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)			
b. <input checked="" type="checkbox"/> Other <u>Verified Statement Claiming Small Entity Status (1 page)</u>			
3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.			
a. <input checked="" type="checkbox"/> The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. <u>03-1952</u> . I have enclosed a duplicate copy of this sheet. Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. <ul style="list-style-type: none"> i. <input checked="" type="checkbox"/> RCE fee required under 37 CFR 1.17(e) ii. <input checked="" type="checkbox"/> Extension of time fee (37 CFR 1.136 and 1.17) (1 page) iii. <input checked="" type="checkbox"/> Other <u>Fee transmittal w/duplicate copy for fee processing (2 pages)</u> 			
b. <input type="checkbox"/> Check in the amount of \$ _____ enclosed			
c. <input type="checkbox"/> Payment by credit card (Form PTO-2038 enclosed)			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature		Date	July 11, 2005
Name (Print/Type)	Katherine D. Lee (Customer No. 20872)	Registration No.	44,885

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 672-9306, on the date shown below.

Dated: July 11, 2005

Signature:  (Janet Hollrah)

ef-1961759

PAGE 2/25 * RCVD AT 7/11/2005 5:07:34 PM [Eastern Daylight Time] * SVR:USPTO-EXRF-1/2 * DNI:8729306 * CSID:4152687522 * DURATION (mm:ss):08:14

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known	
FEE TRANSMITTAL For FY 2005		Application Number	09/752,650
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	December 29, 2000
TOTAL AMOUNT OF PAYMENT (S) 455.00		First Named Inventor	Peter M. DICKSTEIN
		Examiner Name	C. R. Kyle
		Art Unit	3624
		Attorney Docket No.	468182000100

METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify): _____
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number 03-1952 Deposit Account Name Morrison & Foerster LLP				
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)				
<input checked="" type="checkbox"/> Charge fee(s) indicated below		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee		
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments		

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES	
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)
Utility	300	150	500	250	200	100
Design	200	100	100	50	130	65
Plant	200	100	300	150	160	80
Reissue	300	150	500	250	600	300
Provisional	200	100	0	0	0	0

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Small Entity	
Fee (\$)	Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
21	33 = 0	x 25	= 0
2	6 = 0	x 100	= 0

Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)
180	0

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
• 100 =	/50	(round up to a whole number) x	=	0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount) 0
 Other (e.g., late filing surcharge): 2251 Extension for response within first month 60.00
 2801 Request for continued examination (RCE) (see 37 ... 395.00)

SUBMITTED BY					
Signature	<i>Katherine Lee</i>	Registration No. (Attorney/Agent)	44,865	Telephone	(415) 268-6983
Name (Print/Type)	Katherine D. Lee (Customer No. 20872)				
	Date July 11, 2005				

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